

32692

Customer Number

Patent  
Case No.: 59349US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: HENSON, GORDON D.  
Application No.: 10/726225 Confirmation No.: 3735  
Filed: December 2, 2003  
Title: SOLID STATE LIGHT DEVICE

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.
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Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

**Fees**

- ☐ Any required fee will be made at the time of submission via EFSWeb. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
- ☐ Please charge any fees under 37 CFR §§ 1.16 and 1.17 which may be required to Deposit Account No. 13-3723. (One copy of this sheet marked duplicate is enclosed.)
- ☒ Please charge any additional fees associated with the prosecution of this application to Deposit Account No. 13-3723. This authorization includes the fee for any necessary extension of time under 37 CFR § 1.136(a). To the extent any such extension should become necessary, it is hereby requested.
- ☒ Please credit any overpayment to the same deposit account.

**Copies of Documents**

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

Date

May 14, 2007

By:

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Office of Intellectual Property Counsel  
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Facsimile No.: 651-736-3833

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 2	<b>Application Number</b>	<b>10/726225</b>
	<b>Filing Date</b>	<b>December 2, 2003</b>
	<b>First Named Inventor</b>	<b>Henson, Gordon D.</b>
	<b>Confirmation Number</b>	<b>3735</b>
	<b>Attorney Case Number</b>	<b>59349US002</b>

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 3,825,335	07-23-1974	Reynolds	
	A2	US- 5,146,248	09-08-1992	Duwaer et al.	
	A3	US- 5,293,437	03-08-1994	Nixon	
	A4	US- 5,302,999	04-12-1994	Oshida et al.	
	A5	US- 5,420,768	05-30-1995	Kennedy	
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	A10	US- 6,527,411	03-04-2003	Sayers	
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	A12	US- 2001/0033712	10-25-2001	Cox et al.	
	A13	US- 2003/0117691	06-26-2003	Bi et al.	
	A14	US- 2003/0175000	09-18-2003	Caracci et al.	
	A15	US- 2003/0185508	10-02-2003	Fukuyama et al.	
	A16	US- 2003/0235800	12-25-2003	Qadar	
	A17	US- 2004/0106968	06-03-2004	Yamada	
	A18	US- 2004/0159900	08-19-2004	Ouderkirk et al.	
	A19	US- 2004/0164325	08-26-2004	Siegel	
	A20	US- 2004/0166249	08-26-2004	Siegel	
	A21	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	EP	0 468 319	05-08-1996			
	B2	EP	1 081 771	03-07-2001			
	B3	EP	1 372 008	12-17-2003			
	B4	WO	03/077013	09-18-2003			

<b>*Examiner:</b>	<b>Date Considered:</b>
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		Ctry. Code	Number-KindCode (if known)				
	B5	WO	03/096387	11-20-2003			
	B6	WO	2004/081475	09-23-2004			
	B7						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

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